

1ST GLOBAL CAPITAL CORP.
BANK DEPOSIT SWEEP PROGRAM
Core Account Investment Vehicle Interest Chart
Bank Deposit Sweep Program

The rates for the Bank Deposit Sweep Program® (“Program”) as of Sept. 30, 2019, are set forth below; interest accrues daily and is paid monthly. Amounts reflecting interest paid will be presented on your brokerage account statements. The rates are subject to change at any time, without notice to you, and will vary.

For a current list of complete rates, please contact your Investment Representative or check our website at <http://www.1stglobal.com/clients/Bank-Deposit-Program>.

Balance	Interest Rate	APY
\$0.01–\$4,999.99	0.10%	0.10%
\$5,000–\$24,999.99	0.10%	0.10%
\$25,000–\$49,999.99	0.10%	0.10%
\$50,000–\$99,999.99	0.10%	0.10%
\$100,000–\$499,999.99	0.20%	0.20%
\$500,000 and above	0.40%	0.40%

**Individual deposit account balances over \$2.5 million are in excess of the Maximum Applicable FDIC Deposit Insurance Amount and may not be eligible for FDIC insurance coverage.*

- § The interest rate paid for the Bank Deposit Sweep Program® is tiered based on the value of eligible assets in your Program account. Eligible assets are currently evaluated on a daily basis. Interest rates, evaluation period and eligible assets may change at any time and may be based on a number of factors including general economic, market and business conditions. Rates are subject to change as stated in the Bank Deposit Sweep Program® Disclosure Document.
- § Rate in a bank deposit account is the interest earned the last business day stated as a percentage of assets invested.
- § Annual percentage yield (APY) is the compounded yearly investment rate of return.
- § Please refer to the information in the Bank Deposit Sweep Program® Disclosure Document for details about SIPC protection or FDIC insurance, which are subject to applicable coverage per account. You may also visit the FDIC website for information at www.fdic.gov.

At the time of deposit and until such funds are deposited with a Program Bank, they are protected by the SIPC; upon deposit at a Program Bank, your funds are eligible for FDIC insurance. SIPC does not protect against investment losses that may be incurred with respect to securities or other investments held in your Investment Account.

A full explanation of SIPC coverage is available here at www.sipc.org and on the 1st Global website at <http://www.1stglobal.com/clients/security-privacy>.