

**1ST GLOBAL CAPITAL CORP.
PO BOX 743248
DALLAS, TX 75374**

SEC Rule 606 Quarterly Report for the Quarter Ending September 30, 2019

Securities Listed on New York Stock Exchange

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	97.13%
Limit orders as percentage of total non-directed orders	2.66%
Other orders as percentage of total non-directed orders	0.20%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	52.67%
2. VIRTU Americas LLC	25.14%
3. G1 Execution Services, LLC	10.23%
4. National Financial Services LLC	6.44%
5. UBS Securities, LLC	2.10%
6. Two Sigma Securities, LLC	1.98%
7. New York Stock Exchange	0.80%
8. NASDAQ Execution Services, LLC	0.24%
9. Cboe EDGX U.S. Equities Exchange	0.20%
10. Cboe BZX U.S. Equities Exchange	0.14%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	53.42%
Limit orders as percentage of total non-directed orders	25.74%
Other orders as percentage of total non-directed orders	46.15%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	25.47%
Limit orders as percentage of total non-directed orders	11.24%
Other orders as percentage of total non-directed orders	46.15%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.36%
Limit orders as percentage of total non-directed orders	6.21%
Other orders as percentage of total non-directed orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.55%
Limit orders as percentage of total non-directed orders	2.96%
Other orders as percentage of total non-directed orders	0.00%

5. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.12%
Limit orders as percentage of total non-directed orders	1.48%
Other orders as percentage of total non-directed orders	0.00%

6. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.03%
Limit orders as percentage of total non-directed orders	0.30%
Other orders as percentage of total non-directed orders	0.00%

7. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	29.88%
Other orders as percentage of total non-directed orders	0.00%

8. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	9.17%
Other orders as percentage of total non-directed orders	0.00%

9. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	7.69%
Other orders as percentage of total non-directed orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	5.33%
Other orders as percentage of total non-directed orders	0.00%

Securities Listed on The Nasdaq Stock Market

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	96.74%
Limit orders as percentage of total non-directed orders	2.75%
Other orders as percentage of total non-directed orders	0.51%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	53.03%
2. VIRTU Americas LLC	25.41%
3. G1 Execution Services, LLC	11.18%
4. National Financial Services LLC	4.75%
5. Two Sigma Securities, LLC	2.31%
6. UBS Securities, LLC	1.75%
7. NASDAQ Execution Services, LLC	0.94%
8. Cboe EDGX U.S. Equities Exchange	0.45%
9. 1st Global Capital Corp.	0.09%
10. Investors Exchange	0.03%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	53.69%
Limit orders as percentage of total non-directed orders	25.27%
Other orders as percentage of total non-directed orders	76.47%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	25.80%
Limit orders as percentage of total non-directed orders	14.84%
Other orders as percentage of total non-directed orders	8.82%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	11.40%
Limit orders as percentage of total non-directed orders	5.49%
Other orders as percentage of total non-directed orders	0.00%

4. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	4.84%
Limit orders as percentage of total non-directed orders	2.75%
Other orders as percentage of total non-directed orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.39%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.78%
Limit orders as percentage of total non-directed orders	1.10%
Other orders as percentage of total non-directed orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	33.52%
Other orders as percentage of total non-directed orders	2.94%

8. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	16.48%
Other orders as percentage of total non-directed orders	0.00%

9. 1st Global Capital Corp.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.09%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

10. Investors Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	5.88%

Securities Listed on NYSE American or Regional Exchanges

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	99.18%
Limit orders as percentage of total non-directed orders	0.72%
Other orders as percentage of total non-directed orders	0.10%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	56.78%
2. VIRTU Americas LLC	26.58%
3. G1 Execution Services, LLC	10.86%
4. UBS Securities, LLC	2.12%
5. Two Sigma Securities, LLC	1.78%
6. National Financial Services LLC	1.03%
7. 1st Global Capital Corp.	0.48%
8. NYSE Arca	0.17%
9. NASDAQ Execution Services, LLC	0.07%
10. NYSE American	0.05%

Information Concerning Significant Venues :

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	56.95%
Limit orders as percentage of total non-directed orders	32.47%
Other orders as percentage of total non-directed orders	63.64%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	26.68%
Limit orders as percentage of total non-directed orders	11.69%
Other orders as percentage of total non-directed orders	36.36%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.92%
Limit orders as percentage of total non-directed orders	3.90%
Other orders as percentage of total non-directed orders	0.00%

4. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.13%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

5. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.79%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

6. National Financial Services LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.02%
Limit orders as percentage of total non-directed orders	1.30%
Other orders as percentage of total non-directed orders	0.00%

7. 1st Global Capital Corp.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.49%
Limit orders as percentage of total non-directed orders	0.00%
Other orders as percentage of total non-directed orders	0.00%

8. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	23.38%
Other orders as percentage of total non-directed orders	0.00%

9. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	10.39%
Other orders as percentage of total non-directed orders	0.00%

10. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	0.00%
Limit orders as percentage of total non-directed orders	6.49%
Other orders as percentage of total non-directed orders	0.00%

Exchange - Listed Options

Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total non-directed orders	75.71%
Limit orders as percentage of total non-directed orders	24.29%
Other orders as percentage of total non-directed orders	0.00%

Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	36.98%
2. NASDAQ PHLX Options	23.09%
3. BOX Option Exchange	8.42%
4. The MIAX Options Exchange	5.91%
5. NYSE American Options	5.69%
6. NASDAQ Options Market	4.27%
7. Cboe EDGX Option Exchange	3.61%
8. Cboe BZX Options Exchange, Inc.	3.17%
9. ISE Gemini Options Exchange	2.84%
10. NYSE Arca Options	2.30%

Information Concerning Significant Venues :

1. Cboe Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	41.76%
Limit orders as percentage of total non-directed orders	22.07%
Other orders as percentage of total non-directed orders	0.00%

2. NASDAQ PHLX Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	24.42%
Limit orders as percentage of total non-directed orders	18.92%
Other orders as percentage of total non-directed orders	0.00%

3. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	10.26%
Limit orders as percentage of total non-directed orders	2.70%
Other orders as percentage of total non-directed orders	0.00%

4. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	5.64%
Limit orders as percentage of total non-directed orders	6.76%
Other orders as percentage of total non-directed orders	0.00%

5. NYSE American Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	6.21%
Limit orders as percentage of total non-directed orders	4.05%
Other orders as percentage of total non-directed orders	0.00%

6. NASDAQ Options Market

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.01%
Limit orders as percentage of total non-directed orders	14.41%
Other orders as percentage of total non-directed orders	0.00%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.17%
Limit orders as percentage of total non-directed orders	8.11%
Other orders as percentage of total non-directed orders	0.00%

8. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	2.46%
Limit orders as percentage of total non-directed orders	5.41%
Other orders as percentage of total non-directed orders	0.00%

9. ISE Gemini Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.01%
Limit orders as percentage of total non-directed orders	8.56%
Other orders as percentage of total non-directed orders	0.00%

10. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total non-directed orders	1.45%
Limit orders as percentage of total non-directed orders	4.95%
Other orders as percentage of total non-directed orders	0.00%